



STATE OF TENNESSEE  
DEPARTMENT OF COMMERCE AND INSURANCE/SURPLUS LINES  
500 James Robertson Parkway, 4th Floor Nashville, TN 37243  
(615) 741-1756

AFFIDAVIT FOR PLACING SURPLUS LINES INSURANCE

Affidavit must be filed in DUPLICATE and ACKNOWLEDGMENT RECEIVED.

I, \_\_\_\_\_, on behalf of the \_\_\_\_\_  
agency, located at \_\_\_\_\_, hereby make oath that diligent effort to procure insurance upon the  
following described risk was made, and the agent below exhausted the capacity of all authorized insurers or have been unable to  
obtain the desired insurance from insurers licensed to transact business in Tennessee:

WRITING LICENSED SURPLUS LINES AGENT \_\_\_\_\_  
AND BUSINESS ADDRESS: \_\_\_\_\_  
\_\_\_\_\_

AUTHORIZED INSURERS DECLINING RISK

1. \_\_\_\_\_ 3. \_\_\_\_\_  
2. \_\_\_\_\_ 4. \_\_\_\_\_

POLICY NUMBER \_\_\_\_\_

NAME AND FULL DESCRIPTION OF RISK	TYPE OF COVERAGE	LOCATION	COVERAGE AMOUNT	EFFECTIVE DATE	TERM
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Having failed to acquire insurance on the above described risk from an insurer licensed to transact business in Tennessee,  
insurance was procured on said risk in \_\_\_\_\_  
(ELIGIBLE SURPLUS LINES INSURER) and said insurer will receive a premium of \$ \_\_\_\_\_.  
Further oath is made that the sum of \$ \_\_\_\_\_, gross premium tax due, will be remitted on or before March 1,  
or September 1, to the State of Tennessee on the aforementioned premium, in accordance with Chapter 14 of Title 56,  
Tennessee Code Annotated. The surplus lines agent shall collect from the insured the amount of the tax at the time of  
delivery of the cover note, certificate of insurance, policy or other initial confirmation of insurance, in addition to the full  
amount of the gross premium charged by the insurer for the insurance.

WITNESS MY SIGNATURE ON THE \_\_\_\_\_ day of \_\_\_\_\_  
MONTH YEAR

AFFIANT \_\_\_\_\_

SUBSCRIBED AND SWORN TO BEFORE ME THIS \_\_\_\_\_ day of \_\_\_\_\_  
MONTH YEAR

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires on the \_\_\_\_\_ day of \_\_\_\_\_  
MONTH YEAR

NOTICE

A licensed surplus lines agent of this state may procure insurance in unauthorized insurers that are shown on the current eligible surplus lines  
insurers list as published by The Commissioner of The Department of Commerce and Insurance, State of Tennessee. No new or renewal  
surplus lines insurance shall be placed with any surplus lines insurer which requires as a condition or precedent to writing such new or  
renewal insurance that the prospective insured or the insured place other insurance not eligible as surplus lines insurance with such surplus  
lines insurer. IT MUST BE SHOWN THAT DILIGENT EFFORT HAS BEEN MADE TO PLACE SAID INSURANCE IN  
AUTHORIZED INSURERS. The procuring of any such contracts of insurance in unauthorized companies makes the surplus lines  
agent liable for the premium tax thereon and the surplus lines agent shall pay taxes on such premiums as if such companies were duly  
authorized to transact business in this state. In accordance with the provisions of Chapter 14 of Title 56, Tennessee Code Annotated, this  
affidavit must be filed with the Commissioner on any new, renewed or cancelled contracts of insurance that reflect any change of premium.

GROSS PREMIUM TAX RATES ARE AS FOLLOWS:

- 2 1/2% On premiums other than fire premiums.
- 3 1/4% On fire premiums, or the fire portion of any combination premium
- 4.4% On Excess Risk of Workers Compensation